





Attorney Docket No.: P0897P2

PATENT

CERTIFICATION UNDER 37 CFR 1.10

FB 500 086 136 US: Express Mail Number

May 22, 1995: Date of Deposit

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Oido A. Michy Aida A. Michat

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Sequence Commissioner of Patents and Trademarks Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): David V. Goeddel

Mike Rothe

For: TUMOR NECROSIS FACTOR RECEPTOR-ASSOCIATED FACTORS

1. Type of Application

This new application is for a(n) original x continuation-in-part application.

- 2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)(Regular)
 - 160 pages of specification
 - __7__ pages of claims
 - 1 page(s) of abstract
 - 22 sheet(s) of drawings
 - [] formal [x] informal
- 3. Declaration or Oath
 - x The declaration of the inventor(s) [x] is enclosed []unsigned.
- 4. Assignment
 - _ x _ An Assignment of the invention to GENENTECH, INC. [x] is enclosed with attached Recordation Form Cover Sheet [] will follow.





5. Fee Calculation (37 CFR 1.16)

The fee has been calculated as follows:

| CLAIMS FOR FEE CALCULATION | | | | | | |
|-------------------------------------|----|--------------|----|------------|-----------------------------|--|
| Number Filed | | Number Extra | | Rate | Basic Fee 37 CFR 1.16(a) | |
| | | | | | \$730.00 | |
| Total Claims | 46 | -20= | 16 | x \$22.00 | \$352.00 | |
| Independent Claims | 18 | - 3= | 15 | x \$76.00 | \$1,140.00 | |
| Multiple dependent claim(s), if any | | | | + \$240.00 | \$0 | |
| Filing Fee Calculation | | | | | \$2,222.00 | |

6. Method of Payment of Fees

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$2,222.00. A duplicate copy of this transmittal is enclosed.

7. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. <u>A duplicate copy of this sheet is enclosed.</u>

8. Additional Papers Enclosed

- [] Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- [x] Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- [] Other:

Respectfully submitted, GENENTECH, INC.

RV

Date: May 22, 1995

Ginger R. Dreger

Reg. No. 33,055

460 Pt. San Bruno Blvd. So. San Francisco, CA 94080-4990

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EXPRESS MAIL NO: FB 500 086 136 US DATED: 22 May 1995

PATENT DOCKET P0897P2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--|--|-----------|--|--|
| In re Application of | |) | Group Art Unit: Not Known | |
| Goeddel and Rothe | | | Examiner: Not Known | |
| Serial | No. To be Assigned |) | | |
| Filed: 22 May 1995 | | } |) I hereby certify that this correspondence is being deposited with the one education as into a Service a first class mail in an envelope addicassed to: Com | |
| For: | TUMOR NECROSIS FACTOR RECEPTOR- | ý | missioner of Patents and Trademarks, Washington | |
| | ASSOCIATED FACTORS | | D.C., 20231 on22 May 1995 | |
| | |) | (Date of Deposit) | |
| | CERTIFICATE RE: SE | QUENCI | LISTING Aida A. Miclat Name of Depositing Party | |
| BOX SEQUENCE | | | alika G. Ta, chy | |
| Honorable Commissioner of Patents and Trademarks | | | Signature of Depositing Party | |
| ****** | gton, D.C. 20231 | | 22 May 1995 | |
| ************************************** | | | Pate of Signature | |
| Sir: | • | | | |
| • | I hereby state that the Sequence Listing subr | nitted v | vith this application is submitted in paper | |
| сору ап | nd a computer-readable diskette, and that the co | ntent of | the paper and computer readable copies | |
| are the | same. | | | |
| | Respec | tfully su | bmitted | |

Respectfully submitted, GENENTECH, INC.

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